



**Taylor E. Drake**

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**Apella Capital, LLC**

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October 2022

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Taylor E. Drake that supplements the Apella Capital, LLC, DBA Apella Wealth (“Apella”) brochure. You should have received a copy of that brochure. Please contact Timothy Richards at 860-781-6550 if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Taylor E. Drake is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). His individual CRD number is 2958978.

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## **Educational Background and Business Experience**

Form ADV Part 2B, Item 2

### **Taylor E. Drake**

*Year of Birth:* 1964

*Formal Education after High School:*

- Brigham Young University; B.A. Economics, with Minor in Japanese

*Business Background for the Previous Ten Years:*

- Mr. Drake is registered as a Senior Financial Adviser Representative with Apella. Mr. Drake started with Apella in 2022 as a Senior Financial Adviser. Prior to joining Apella, Mr. Drake worked at the following financial institutions:
  - Investment Advisory Representative / Managing Member, ProVest Capital Management dba Metrist Wealth Advisors (02/2019 – 03/2022)
  - Co-Manager and Chief Investment Officer, RAM Investment Partners, LLC (04/2012 - 04/2019)
  - Chief Investment Officer, Retirement Asset Management, LLC (01/2012 – 04/2019)
  - Principal, ProVest Capital Management, LLC (2010 – 06/2012)
  - Principal, Wealth Strategies Group, LLC (2003 – 2010)

*Certifications and Professional Designations:*

- Chartered Financial Analyst® (CFA) 2000
- Certified Private Wealth Advisor® (CPWA) 2016

**Chartered Financial Analyst:** The Chartered Financial Analyst designation is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations, which takes most candidates between two and five years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

**Certified Private Wealth Advisor:** Individuals who hold the CPWA® designation have met the required prerequisites for education/licensing and experience, and then have completed a multi-step course of study focused on the life cycle of wealth for high net-worth clients. Finally, individuals must pass a comprehensive exam on the subject matter. All designees are required to adhere to the IMCA Code of Professional Responsibility, reaffirming compliance to the code, as well as completing 40 hours of continuing education, which includes two hours of ethics, every two years.

### **Disciplinary Information**

Form ADV Part 2B, Item 3

Apella is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

#### **Taylor E. Drake**

No information is applicable to this item for Mr. Drake.

- A. Mr. Drake has never been subject to a criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person
1. was convicted of, or plead guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
  2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
  3. was found to have been involved in a violation of an investment-related statute or regulation; or
  4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.
- B. Mr. Drake has never been subject to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
    - a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
    - b) barring or suspending the supervised person's association with an investment-related business;
    - c) otherwise significantly limiting the supervised person's investment-related activities; or
    - d) imposing a civil money penalty of more than \$2,500 on the supervised person.
- C. Mr. Drake has never been subject to a self-regulatory organization (SRO) proceeding in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

Form ADV Part 2B, Item 3

- D. Mr. Drake has never been subject to any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

### ***Other Business Activities***

Form ADV Part 2B, Item 4

#### **Taylor E. Drake**

- Mr. Drake is currently engaged in the following investment related business activities separate from Apella.
  - Taylor occasionally provides expert witness services in civil and/or regulatory proceedings related to the investment industry. The time spent on such activities varies by case but has generally not exceeded 10% of his time.

***Additional Compensation***

Form ADV Part 2B, Item 5

Mr. Drake does not receive any additional compensation such as, but not limited to, sales awards, other prizes and from any other source outside of Apella.

***Supervision***

Form ADV Part 2B, Item 6

Mr. Drake is supervised by James J. Scanlan, President at Apella. Mr. Scanlan can be reached at 401-829-0047.